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United Nations Development Programme

PROJECT DOCUMENT

INDIA

Project Title: Technical Support to PM-PVTG Mission Support Cell in Ministry of Tribal Affairs

Project Number: 01001774

Implementing Partner: United Nations Development Programme (UNDP)

Start Date: 18 October 2023 **End Date:** **17 October 2026** **PAC Meeting date: 28 July 2023**

Brief Description

The United Nations Development Programme (UNDP) holds a unique place in collaborating with the Government of India. Through a five-year agreement, UNDP is working in partnership with several national and state ministries, focusing on key priority areas such as enhancing institutional capacity, strengthening service delivery systems, promoting inclusive growth, and addressing environmental and energy challenges. Since 2012, UNDP has been actively engaged with the Ministry of Tribal Affairs (MOTA) to advance the holistic development agenda for tribal communities. The collaboration between UNDP and MOTA primarily centres around ensuring effective implementation and monitoring of national schemes and programmes aimed at tribal development. It covers technical support and monitoring of a vast range of programmes which includes education and scholarship programmes for tribal children and youth, ensuring effective implementation of Forest Rights Act (FRA), implementation of Pradhan Mantri Adi Adarsh Gram Yojana (PMAAGY) for addressing infrastructural gaps in tribal areas.

The Pradhan Mantri Particularly Vulnerable Tribal Groups (PVTGs) Development Mission is one of the important programs introduced in the Union Budget 2023-24 to improve the socio-economic status of the PVTGs. The mission seeks to address the development gaps in areas such as health, education, livelihoods, and basic infrastructure for PVTGs, and promote their overall welfare. The government has earmarked a budget of Rs. 15,000 crores to be sourced from Development Action Plan for Scheduled Tribes (DAPST,) which will be implemented over a period of three years. Nine Union Ministries[1] to be involved, of which Ministry of Tribal Affairs is the Nodal Ministry for implementing this Mission.

Under this mission, a multi-sectoral approach is adopted to ensure the holistic development of PVTGs, through providing safe housing, clean drinking water and sanitation, improved access to education, health and nutrition, road and telecom connectivity, and sustainable livelihood opportunities. The initiative aims to empower these communities and enable them to become self-reliant.

The United Nations Development Programme (UNDP) is currently providing technical support to the Ministry of Tribal Affairs in India with the aim of enhancing the effectiveness of Tribal Development Programs. The Partnership focuses on strengthening implementation of various flagship programmes and schemes as well as gender sensitive data analysis and development and management of various portals for evidence-based planning and monitoring. The collaboration also supports better coordination with the State Governments and other Central Ministries for effective implementation of the tribal development Programmes in India.

In this context, the project adopts following objectives to effectively implement the PM PVTG Mission.

[1] Ministry of Rural Development, Ministry of Jal Shakti, Department of School Education & Literacy, Ministry of Health & Family Welfare, Ministry of Skill Development & Entrepreneurship, Ministry of Women & Child Development, Ministry of Power, Ministry of New & Renewable Energy

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- Provide technical assistance and desired support though a team of human resource with domain knowledge to provide overall assistance and desired support for strategic planning, implementation and monitoring of the PVTG Development Mission.
- Support gender and inclusion mainstreaming in all aspects of the mission.
- Support in coordination and convergence with other Central Ministries, State Tribal Welfare Departments, and linkages with other external stakeholders as well as community members.
- Provide support for establishing and managing a robust IT-based MIS platform at both the Centre and the State level (wherever needed) and developing other ICT tools for data-based planning and monitoring.
- Development of monitoring tools to enable effective implementation of the Mission so that the benefits reaches the intended beneficiaries in full measure.
- Designing training and capacity building frameworks/programmes for field functionaries.
- Designing evaluation framework for concurrent assessment of the impact of the implementation of the Mission
- Knowledge management and information dissemination through various medium.

Contributing Outcome (UNSDCF): **Outcome 4:** By 2027, people will benefit from and contribute to sustainable and inclusive growth through higher productivity, competitiveness and diversification in economic activities that create decent work, livelihoods and income particularly for youth and women. **Indicative Output(s) 2.3** Expansion of robust and inclusive social protection systems and improved access to assets and services for poor and vulnerable groups such as tribal, migrants, people infected or affected by HIV, PWD, LGBTIQ etc (GEN2)	

Total resources required:	**USD 1,271,379**[2]	
Total Resources Allocated:	**UNDP TRAC:**	-
	Donor: (Ministry of Tribal Affairs)	**USD 1,271,379**
	In-Kind:	-
Unfunded:	-	

Agreed by (signatures)[3]:

Government	UNDP	Implementing Partner
Print Name:	DocuSigned by: Isabelle Tschan D12F7DC81BD94DB... Print Name: Isabelle Tschan Deputy Resident Representative	Print Name:
Date:	Date:	Date:

[2] As per September 2023 exchange rate (1 USD= INR 82.73)

[3] Note: Adjust signatures as needed

DocuSign Envelope ID: 1957523D-8ADD-49EE-B568-2A8456CC0EB8

TABLE OF CONTENTS

I. Development Challenge
II. Strategy
III. Results and Partnerships
    a. Expected Results
    b. Resources Required to Achieve the Expected Results
    c. Partnerships
    d. Risks and Assumptions
    e. Stakeholder Engagement
    f. South-South and Triangular Cooperation (SSC/TrC)
    g. Digital Solutions
    h. Knowledge
    i. Sustainability and Scaling Up
IV. Project Management
V. Results Framework
VI. Monitoring and Evaluation
VII. Multi Year Work Plan
VIII. Governance and Management Arrangements
IX. Legal Context
X. Risk Management

Annexes

1. Project Risk Register
2. Project Quality Assurance Report
3. Social and Environmental Screening Template

DocuSign Envelope ID: 1957523D-8ADD-49EE-B568-2A8456CC0EB8

I. Development Challenge

According to the Census of India-2011, the Scheduled Tribes population in India was 104 million constituting 8.6 percent of the country's total population. Within the Scheduled Tribes, Particularly Vulnerable Tribal Groups are one of the most vulnerable communities, given their remote location and lack of exposure to development facilities. About 2.6 million (2.5%) of the total population of Scheduled Tribes are "Particularly Vulnerable Tribal Groups" (PVTGs). The Government of India has identified 75 PVTGs in 18 States and 1 Union Territory.

This centrally recognized special category of Scheduled Tribe was created during the 4th five-year plan on the basis of the report of the Dhebar commission (1960-61) and other studies that revealed that there is inequality in the development of the tribal communities. Each of 75 Particularly Vulnerable Tribal Groups (PVTGs) are small in number and currently exhibits unique characteristics while residing in isolated habitats with limited administrative and infrastructure backup. The tribal population lives in relative remoteness in hilly forests or well-delimited areas with distinct cultures, languages, religions, and strong ethnic identities (Lakshmi & Paul, 2019). Some PVTGs are located only in a particular ecological zone and geographical region, such as Kutia kandhs in Odisha.

The tribal community is considered a weaker section of society and five-year policies under the Government of India have adopted a consistent approach to empower the tribals. Tribal populations are typically excluded from participation in national socio-economic activities, resulting in lower levels of educational attainment (Male: 68.50%, Female: 49.40%) and economic prosperity (41% living BPL). As a result, their lives are often characterized by a lack of access to public utilities and services and a lack of material resources, further exacerbating their marginalized status. (Kumar et al., 2020). This is further augmented in case of PVTGs who are further marginalized given their remote location and culturally unique groups. Given that they are not recognized as special groups in the constitution, the census also did not capture data specific to the PVTG communities.

As a result, there is a huge gap in availability of data and lack of indicators to capture how PVTG groups are included in the development schemes and Programmes in a systematic manner and hence the Government of India in the Union Budget 2023-24 has launched the Pradhan Mantri Particularly Vulnerable Tribal Groups (PVTGs) Development Mission. PM-PVTG Mission is one of the important programs introduced in the Union Budget 2023-24 to improve the socioeconomic status of the PVTGs. The mission seeks to address the development gaps in areas such as health, education, livelihoods, and basic infrastructure for PVTGs, and promote their overall welfare. The major barriers are as follows:

- *Barrier 1- Lack of gender disaggregated data in socio-economic demographic, and infrastructural development of PVTGs*:
  There is a significant lack of comprehensive data regarding Particularly Vulnerable Tribal Groups (PVTGs). Regular census procedures do not collect specific data on PVTGs, and state-level sample surveys are not regularly undertaken. The available data from sources like the Mission Antyodaya Survey (2019), Census 2011, and Baseline Surveys conducted by States/UTs from 2015-16 to 2019-20 have been used to identify gaps in 7472 out of 22544 PVTG-inhabited villages. These data gaps also highlight substantial infrastructure deficiencies across villages.

  As there are no distinct socio-economic indicators readily available for the PVTGs, it is evident that proportional or incremental interventions alone cannot bridge these gaps. More substantial and improved interventions with a focus on critical outcomes within a specific timeframe may yield better results. Additionally, lack of gender disaggregated data limits evidence-based policies and programme implementation. From limited resources, status of women in PVTGs is poor and they face multiple challenges in access and development.

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- *Barrier 2: Absence of evidence-based planning and monitoring mechanisms both at the National and State level, leading to ad hoc implementation at the State and below:*

The absence of evidence-based planning and monitoring mechanisms at both the national and state levels have led to ad-hoc implementation of programmes. During the COVID-19 pandemic, many states with significant PVTG populations were unable to utilize the grants allocated to them for 2020-21 and 2021-22, resulting in pending utilization certificates (UCs) and unspent balances. Programmes for PVTG development are often formulated without comprehensive analysis, and monitoring and evaluation at the state level have been irregular. These programmes may also be gender blind, not accounting for differential experiences and needs of men and women.

- *Barrier 3: Limited capacity among various stakeholders to address diverse needs of the PVTGs:*

Various stakeholders lack the capacity to address the diverse needs of PVTGs effectively. The complex challenges faced by PVTGs require specialized knowledge and resources with due attention to gender considerations, which are often lacking among these stakeholders.

- *Barrier 4: Lack of convergence/coordination between Central line ministries/ Departments and State Governments with implementation of schemes and programmes for tribal communities, especially, PVTGs:*

There is a lack of coordination between central line ministries/departments and state governments in the implementation of schemes and programs for tribal communities, particularly PVTGs. Ministries responsible for health, education, rural development, water resources, and other sectors have not adequately addressed the critical infrastructure needs of PVTG villages. Gaps persist in housing, education, health services, access to clean water, and sanitation in these communities due to insufficient coordination efforts, some more severe for women than that for men.

To overcome the barriers mentioned above among the PVTGs, focused and dedicated efforts are required to accomplish the PM PVTG Mission, aimed at uplifting PVTGs. The PM PVTG mission cell will regularly coordinate with the concerned Central Line Ministries / Departments and State Governments to bring synergy and effect convergence/dovetailing of funds under various Central Ministries / Department schemes. It will provide support in the preparation of appropriate plans by the State Governments and their inclusion in the State Governments Annual Action Plans under identified Central Ministries/Departments schemes. Additionally, the PVTG Cell will coordinate with field-level functionaries at the State and District levels, where necessary, and sensitize various stakeholders to the diverse needs of PVTGs (across states and among men and women) through knowledge sharing and capacity building by organizing national/regional workshops, conferences, meetings and seminars, etc. as well as discussion with the members of the PVTG community. The mission will provide technical support to States for database creation, regular updation, management and maintenance. Furthermore, the project will employ monitoring and evaluation techniques, using geo-tagging of assets created in villages with significant PVTG populations. To conclude, it will establish synergy with flagship initiatives like Mission Utkarsh, Aspirational Districts, and Aspirational Blocks, and will work on the convergence of PM-PVTG Mission with programs like the Border Area Development Programme (BADP), including Vibrant Village Programme.

II. Strategy:

The Government of India has undertaken various affirmative actions to systematically reduce development deficit in the tribal regions to ensure holistic development and socio-economic empowerment of tribal communities. Realising the multiplicity of development challenges associated with the tribal, Ministry of Tribal Affairs being the nodal agency, has adopted a multi-faceted strategy incorporating protective

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legislation, efficient delivery of basic services and strengthening of institutions to address critical gaps in tribal development.

Various schemes/programmes are being implemented such as Special Central Assistance to Tribal Sub Plan (SCA to TSP), Grant under Article 275(1) of the Constitution of India, Scholarship for ST students, Empowerment of Particularly Vulnerable Tribal Groups (PVTG), Implementation and monitoring of Forest Rights Act (2006), Support to TRIs etc. for holistic and equitable development of the tribal communities.

To ensure that the tribal communities living in the remote parts of the country also benefits from the development agenda and are integral part of the programmes and schemes, the Ministry of Tribal Affairs is also taking an integrated area development approach for holistic tribal empowerment. Towards this, the ongoing Scheme of Special Central Assistance to Tribal Sub Scheme (SCA to TSS) as now been transformed into PM Adi Adarsh Gram Yojana, which envisages transforming 36428 tribal-dominated villages into Adarsh Gram.

The nature and scope of work at the Ministry of Tribal Affairs is also expanding with the development of new programme. Further, the Ministry envisages that the decision regarding the policy and programme needs to be informed by evidence-based planning through gender disaggregated data and capturing the impact on the ground. Towards this data driven policy and analysis is required for all the flagship programs especially the PMAAGY and the recently announced PVTG mission.

The Pradhan Mantri Particularly Vulnerable Tribal Groups (PVTGs) Development Mission is one of the important programs introduced in the Union Budget 2023-24 to improve the socio-economic status of the PVTGs. The mission seeks to address the development gaps in areas such as health, education, livelihoods, and basic infrastructure for PVTGs, and promote their overall welfare. The following strategies will be adopted to roll out the PM PVTG Mission across the Country.

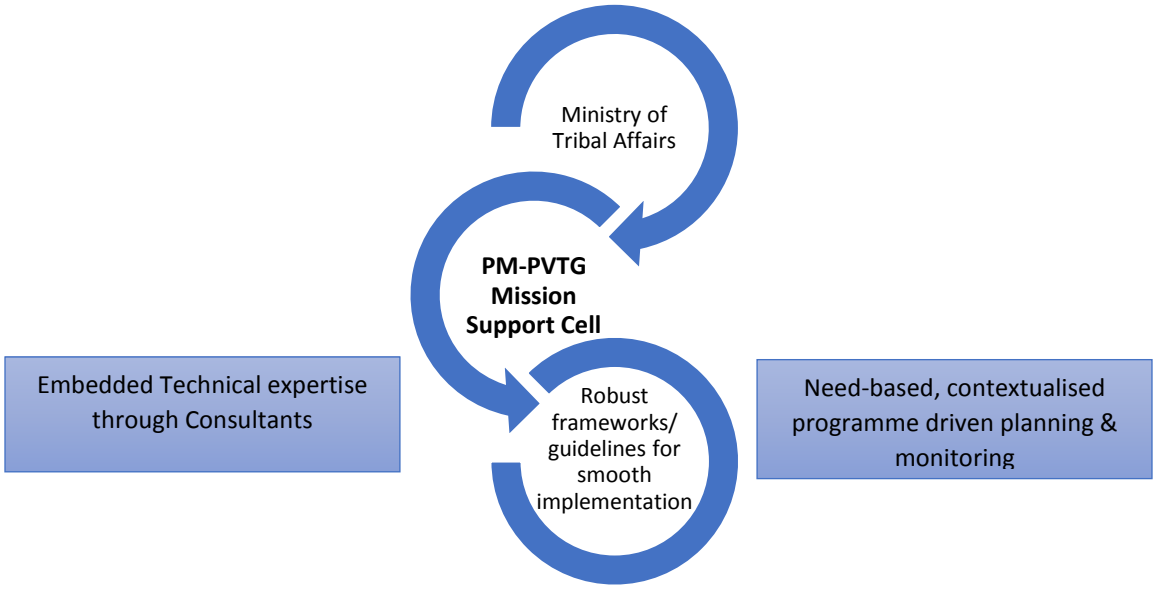
The strategy for supporting the PM-PVTG Mission are outlined as follows:

- Collation and analysis of Gender Disaggregated data with inputs to increase outreach of schemes to women beneficiaries in PVTG communities.
- Develop a mission-aligned strategy in collaboration with State Tribal Welfare Departments, focusing on data gap validation (ensuring gender-disaggregation) and creation of gender sensitive PVTG Model Action Plans for State Governments.
- Training and Capacity Building: Strengthen the capacity of stakeholders at different levels, including CSOs, PRIs, and PVTG community leaders, across states and gender. The Training of Master Trainers at the district level to be supported by TRIs.
- Monitoring and Evaluation: Design and implement an effective monitoring framework, SOPs, and documentation procedures, with a strong emphasis on outreach and access of women beneficiaries within the PVTG community. Creation of dashboard for monitoring the real time implementation of PM PVTG Mission.
- Coordination: Coordinate between line ministries, MoTA, State governments and implementing partners for convergence and smooth execution of the mission, and timely reporting of progress to the MoTA.

For a focused programmatic action, UNDP will support in establishing a PM-PVTG Mission Support Cell at the Ministry of Tribal Affairs for the effective implementation of the PVTG Mission. The Support Cell will comprise of a pool of experts who will provide overall assistance and desired support for strategic planning, implementation and monitoring the PVTG Development Mission. The Cell will facilitate need-based as well

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as consistent, contextualised, collaborative and programme-driven planning and monitoring by generating robust frameworks/guidelines for the smooth implementation of the PVTG Mission.



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Theory of Change

Outcome/Impact: Improved access and strengthened capacities of women and men belonging to the Particularly Vulnerable Tribal Groups (PVTGs) and implementing agencies to bridge the development gaps and move the PVTGs out of deprivation.

Barriers	Pathways	Project Outputs
• Lack of gender disaggregated data in socio-economic demographic, and infrastructural development of PVTGs. • Absence of evidence-based planning and monitoring mechanisms both at the National and State level, leading to ad hoc implementation at the State and below. • Limited capacity among various stakeholders to address diverse needs of the PVTGs. • Lack of convergence/ coordination between Central line ministries/ Departments and State Governments with implementation of schemes and programmes for tribal communities, especially, PVTGs.	• Generation and analysis of data related to development parameters of PVTGs, including gender disaggregated data. • Inputs in preparation of policy documents and documentation of best practices, guidance notes, publications, knowledge products including audio-visuals on initiatives related to PVTGs • Collation and analysis of Gender Disaggregated data to enhance the outreach of schemes specially targeting women beneficiaries within PVTG communities. • Establish a real-time monitoring dashboard for the PM-PVTG Mission to track the implementation progress. • Design and implement an effective monitoring framework, SOPs, and documentation procedures, with a strong emphasis on outreach of women beneficiaries within the PVTG community. • Strengthen the capacity of stakeholders at different levels, including CSOs, PRIs, gender and inclusion experts, and PVTG community leaders, across states and genders. • Facilitate coordination among line ministries, the Ministry of Tribal Affairs, State governments and implementing partners to ensure convergence and smooth execution of the mission, along with timely reporting to the MoTA. • Develop a mission-aligned strategy in collaboration with State Tribal Welfare Department to strengthen evidence-based planning and monitoring mechanisms by focusing on data gap validation (ensuring gender-disaggregation) and creation of gender sensitive PVTG Model Action Plans for State Governments.	• Strengthened Institutional Capacity of the Ministry of Tribal Affairs as well as the Implementing agencies at the State and below to ensure effective and swift implementation and monitoring of the various schemes/ programmes under PM- PVTG Mission so that the benefits reach the tribal communities with specific focus on women. • Robust Digital platforms, Tools and mechanisms developed for better implementation, evidence-based planning, and monitoring of PM-PVTG Mission to make the mission more coherent and relevant to needs and aspirations of women and men belonging to the PVTGs.

Assumptions:

- The project assumes a stable political scenario, where priorities at both the state and national levels remain consistent throughout its implementation.
- The project assumes timely and unobstructed access to reliable data sources from ground-level and other relevant line ministries, and state Governments.
- The project assumes that funding will be released without significant delays, allowing for timely execution of project activities.

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III. Results and Partnerships

a. Expected Results

The support provided in the specific thematic areas of the Mission can yield significant results in terms of evidence-based strategic planning, impact monitoring, convergence of programs and schemes, training and capacity building, and knowledge management and communications.

Output 1: *Strengthened Institutional Capacity of the Ministry of Tribal Affairs as well as the Implementing agencies at the State and below to ensure effective and swift implementation and monitoring of the various schemes/ programmes under PM- PVTG Mission so that the benefits reach the tribal communities with specific focus on women.*

- **Provision of Technical Assistance and Support**: A dedicated team of experts with domain knowledge (such as gender and inclusion, social development etc.) will provide essential technical assistance, contributing to the effective strategic planning, implementation, and monitoring of the PVTG Development Mission.
- **Training and Capacity building:** The project aims to empower government officials, civil society organizations (CSOs), Panchayati Raj Institutions (PRIs), and PVTG community leaders for effective implementation of the PVTG Mission. This will include development of gender sensitive comprehensive training frameworks and modules as well as capacity building sessions for stakeholders. The involvement of Master Trainers at the district level, supported by Training and Research Institutes (TRIs), will strengthen the knowledge dissemination and internalization. The National and regional conferences will provide platforms for sharing experiences and best practices, fostering collaboration, and promoting learning.
- **Knowledge Management and Communications:** The project will implement knowledge management and communication initiatives to enhance visibility and advocacy at the local level. Publication focussing on specific challenges experienced by PVTG women will be prepared and disseminated to address inadequate information on this aspect. Development of training manuals, compendiums, best practice documentation, and Information, Education, and Communication (IEC) materials will equip stakeholders with information and tools to create awareness and promote positive changes.. Effective knowledge capture and sharing will facilitate informed decision-making and inspire the replication of successful interventions, ultimately contributing to the sustainable development of targeted communities.

Output 2: *Robust digital platforms, tools and mechanisms developed for better implementation, evidence-based planning, and monitoring of PM-PVTG Mission to make the mission more coherent and relevant to needs and aspirations of women and men belonging to the PVTGs.*

- **Evidence-based strategic planning and impact monitoring**: The project will conduct comprehensive gap analysis, including gender-specific gaps, in the identified sectors under the Mission to identify areas requiring focused intervention. This analysis will help pinpoint areas that require focused interventions. Moreover, to ensure the accuracy and reliability of the data used for decision-making, the project will carry out thorough data validation in close collaboration with State Governments. In cases where it is necessary to establish benchmarks for measuring progress and assessing impact, baseline surveys will be conducted.

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The project will enhance the efficiency of implementation by developing model plans that are tailored for use by the States. Additionally, data management and analysis will be facilitated by centralizing data collation through an online portal in partnership with the respective State Governments. An interactive dashboard capturing gender-disaggregated data will be utilized to monitor achievements and progress, ensuring a gender-sensitive approach. Further, the project will provide essential handholding support to States and other stakeholders whenever required, ensuring effective implementation and timely interventions to address challenges and bottlenecks.

- **Convergence of programs and schemes across sectors:** The project seeks to achieve outcome-oriented results by promoting the convergence of programs and schemes across sectors such as rural development, housing, health, gender. Coordination with various stakeholders, including State Governments and concerned Central ministries, will align and optimize resources effectively. Exploring the feasibility of utilization of available resources under Central Government Schemes to fill gaps in identified sectors will promote efficiency and maximizes impact. The prioritization of Particularly Vulnerable Tribal Group (PVTG) villages and identification of local needs of PVTG women and men will enable targeted interventions and tailored development strategies. Additionally, the project will foster collaboration with concerned State Governments regarding Community Forest Rights (CFR) and habitat rights and explore opportunities for linking these rights to livelihood schemes and agriculture development programs, thereby contributing to the sustainable and holistic development of PVTG communities.

b. Resources Required to Achieve the Expected Results

This project envisages financial inputs to the tune of **USD 1,271,379** (INR 10,51,81,200) for three years on a government cost sharing. UNDP will provide the oversight and quality assurance services for ensuring achievement of the envisaged results. The partnership framework will attract government programme funding parallelly and in the process, will ensure effective implementation of the government programmes in addition to achieving the desired programme results.

c. Partnerships

Considering the scale, scope and complexity of development challenges in tribal areas, engagement with multiple stakeholders will be required at various levels which includes other central ministries, State Tribal Departments, civil society, as well as academia to bring about a major transformation. Therefore, the project envisages building knowledge as well as financially resourced partnerships with other Central Line Ministries, state governments especially Tribal welfare departments, TRIs, non-governmental organizations, tribal, inclusion, gender CSOs, research institutions, local governments, departments/ministries of state/central governments and private businesses as an integral part of the design and implementation of the interventions.

The Ministry of Tribal Affairs will also seek guidance and support from NITI Aayog to develop a State / UT wise socio-economic gender sensitive indicator to facilitate policy formulation and implementation of programs for tribal welfare and development. The PMU will also collaborate with NITI Aayog (SJE vertical) to develop robust framework to monitor the progress of PVTG development Mission.

The partnership strategy is guided by five basic principles while complementing the efforts of other development partners: (i) respond to the country's development needs, (ii) expand and incorporate best practices from the past, (iii) take advantage of partners' strengths aligned with national development priorities, (iv) convergence with other programmes and policies, and (v) ensure innovation and value addition.

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d. Risks and Assumptions

Some of the potential risks and assumptions include the following:

- There is a risk within the strategic context, specifically related to stakeholder relations and partnerships that there might be challenges in obtaining data from ground level as well as other line ministries. The risk will be mitigated through interventions by Ministry of Tribal Affairs with regular letters to states and other departments as well as seek technological support to obtain data.

Primary Categories: 7. Strategic **Secondary Categories: 7.3 Stakeholder relations and partnerships**			
Risk Description	***Impact and Probability (1-5)***	***Significance*** ***(Low, Moderate, High)***	***Comments***
Risk 4: Challenges in obtaining data from ground level as well as other line ministries which may affect planning and monitoring process.	I = 2 P = 2	**Low**	The risk will be mitigated through Interventions by Ministry of Tribal Affairs with regular letters to states and other departments as well as seek technological support to obtain data.

- There is a specific risk within the social and environmental context, specifically focusing on Indigenous Peoples. The risk described that the project activities and approaches might not fully ensure active participation or equitable opportunities for the involvement and benefit of the tribal community, especially women and girls.

Primary Categories: 1. Social and Environmental **Secondary Categories: 1.9 Indigenous Peoples**			
Risk Description	***Impact and Probability (1-5)***	***Significance (Low, Moderate, High)***	***Comments***
Risk 2: There is a risk that the project activities and approaches might not fully ensure active participation or equitable opportunities for the involvement and benefit of the tribal community, especially women and girls.	I = 2 P = 2	**Low**	The risk will be mitigated through constant advocacy with the government to engage civil society from the inception stage in formulation of new guidelines/ schemes etc. It will be ensured that the capacity building sessions to be held for relevant stakeholders like master trainers and TRIs are participatory, inclusive, and responsive to the needs and concerns of the PVTGs. Further, it will be ensured that collection of gender

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			disaggregated data and gender sensitive training and outreach programmes will be developed to reach out to the women belonging to the PVTG community.

This table presents a risk assessment within the strategic context, specifically related to change/turnover and partnerships. The risk described is the potential for changes in the priorities of the Government which could change during the project implementation period.

Primary Categories: 7. Strategic **Secondary Categories: 7.6 Change/Turnover and partnerships**			
Risk Description	***Impact and Probability (1-5)***	***Significance*** ***(Low, Moderate, High)***	***Comments***
Risk 3: Priorities of the Government might change during the project implementation period.	I = 2 P = 2	**Low**	The risk will be mitigated through Advocacy and ownership of the Project.

This table presents a risk assessment within the social and environmental context, particularly concerning Indigenous Peoples. This risk describes that Indigenous Peoples may not have sufficient representation or influence in the formulation of government policies and guidelines that affect their communities.

Primary Categories: 1. Social and Environmental **Secondary Categories: 1.9 Indigenous Peoples**			
Risk Description	***Impact and Probability (1-5)***	***Significance*** ***(Low, Moderate, High)***	***Comments***
Risk 4: Limited capacity of government officers and/or poor coordination between duty bearers can lead to reduction in efficiency and effectiveness of the project implementation.	I = 2 P = 2	**Low**	The project will ensure strong engagement with the relevant stakeholders to ensure their optimum meaningful participation. It will facilitate coordination among line ministries, the Ministry of Tribal Affairs, State governments and implementing partners to ensure convergence and smooth execution of the mission. A project-level GRM will also be established to address any social and environmental risk.

The risk register addresses the risks comprehensively (added as Annexure).

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e. Stakeholder Engagement

The project envisages engagement with a diverse group of stakeholders to address the issues of challenged geographies and communities. It includes the governments at the central and state levels, technical/research institutions, and community-based organisations.

Central and States Governments: The Ministries dealing with the vulnerable population, (Ministry of Tribal Affairs) and related departments at the state level (State Tribal Departments/TRIs) will be partnered to enable issuance of facilitative guidelines/direction on existing/new laws/schemes; special resource provisions where needed; advocacy and monitoring. It will also implement the schemes in convergence with other line ministries namely; Ministry of Rural Development, Ministry of Jal Shakti, Department of School Education & Literacy, Ministry of Health & Family Welfare, Ministry of Skill Development & Entrepreneurship, Ministry of Women & Child Development, Ministry of Power, Ministry of New & Renewable Energy as these ministries have domain strength in the respective areas of work.

To reach the poorest of the poor, specific engagement will be made with the District Administrations/ITDAs to ensure inter-departmental convergence, people centric planning, implementation and monitoring of activities and results. Elected people institutions (PRIs), Gram Sabhas and Water User Associations etc. will be involved to undertake advocacy on behalf of community institutions, operation and management of community infrastructure for long term sustainability. UNDP will especially work to support convergence mechanisms for government programmes at the district/block level to improve quality of life in the mining affected areas through demonstration of integrated development solutions with focus on livelihoods, water security, rights and entitlements. The Ministry of Tribal Affairs will also collaborate with NITI Aayog and the other line ministries to develop monitoring and evaluation parameters to ensure success of the mission.

Engagement with Centre of Excellences (COEs), Community Based Organizations (CSOs) and Academic institutions: Community Based Organizations, COEs and academic institutions through its interaction with the communities will help build social capital by undertaking social mobilization, technology provisioning and field demonstration, training, implementation support as well as facilitate convergence with local government institutions.

f. South-South and Triangular Cooperation (SSC/TrC)

The project will support south-south exchange on similar initiatives between India and other countries facilitated by the UNDP Regional Resource Centres, participation in online and offline global knowledge networks, international conferences, seminars, study visits and exchange of case studies subject to availability of resources under the project. In addition, development tools and knowledge generated the project will be shared with the UNDP Regional Resource Centre for sharing with the other Country Offices working on the indigenous issues.

g. Digital Solutions:

One major area of collaboration is a range of Digital platforms that has been developed to transform internal and external management and monitoring systems for various programmes/schemes through adoption and use of technology. Going forward, the project will provide support for enhancing data governance, data quality, ease of access, promoting geocoding/ geotagging and adopting emerging technologies such as AI.

h. Knowledge

One of the key components of the project is to generate evidence for policy advocacy through research studies to ensure that policy interventions reach their intended beneficiaries. Primary and secondly research will be undertaken in the emerging areas of tribal development. A concrete agenda will be developed at the beginning of the project to document and disseminate best practices for greater cross learning between the states as well as for wider learning (e.g. commissioning case studies, process documentation, issue-based studies, reviews, working papers).

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i. Sustainability and Scaling Up

The interventions focus on capacity and institutional development within government structures, system strengthening and improved monitoring for delivery of rights and services.

Ministry of Tribal Affairs will also collaborate with NITI Aayog to build the capacity and awareness for the officials of Central Ministries / Departments about the NITI Aayog Guidelines-2017 / Framework for DAPST for formulation / orientation of their schemes, allocation of resources, implementation of schemes in convergence with schemes of MOTA and State Tribal Welfare Departments to enable a comprehensive approach for Tribal development.

As the mission is to be implemented in the time-bound matter in 2026, sustainability strategy will be developed to ensure that the substantial investments and progress made towards tribal development are sustained for the future. The sustainability strategy will focus on capacity and institutional development, government ownership and replicability of the innovative development solutions by forging partnerships for scale. The involvement of the Ministry of Tribal Affairs and the State Tribal Departments in the development of tools/mechanism will ensure the ownership of the government. Further, capacity built of the state tribal departments as well as inter-ministerial/department platforms along with demonstratable development solutions and ICT enabled tools to monitor the delivery of the programme will continue to function beyond the project life.

IV. Project Management

Cost Efficiency and Effectiveness

The project will utilise the portfolio management approach wherein several initiatives and partnerships with various stakeholders have been converged to ensure synergies and reduce transaction cost. The Project will leverage the support from the existing holistic development of Tribal project ongoing with Ministry of Tribal Affairs. UNDP has also initiated partnerships at the State level with the State tribal departments which will also be crucial and strategic for providing inputs, data and capturing best practices which will flow into the PVTG mission implementation.

Project Management

The programme will be implemented at the Central level. The project management team will operate out of the premises allocated by the Government. Operational support will be provided by the government that include – computers/seating arrangement, communication expenses etc., for the professionals recruited for the Cell. Under the consultancy model of the Government Cost Sharing, UNDP will support implementation of the project under the guidance and supervision of the MOTA and as per the parameters and budgets laid down in the agreement. MoTA will provide an advance equal to 12 months of gross expenses to UNDP which will be required to facilitate smooth operations. UNDP Country Office at New Delhi, through its Programme, Human Resource and Finance teams will provide oversight and quality assurance of the services being provided. UNDP will charge a management fee of 8% on the gross expenditures against government funds. For payments to be made to technical personnel placed within the Ministry, UNDP will receive certification of satisfactory performance and attendance record every month from the competent authority designated by the Ministry. Subsequently, an invoice will be raised to the Ministry of Tribal Affairs for release of further advance.

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Financial flow and financial management

The project will be implemented by UNDP under Direct Implementation modality. In this case, UNDP assumes the responsibility for mobilizing and effectively applying the required inputs to reach the expected outputs. UNDP assumes overall management responsibility and accountability for project implementation. Accordingly, UNDP would follow all policies and procedures established for its own operations and will be responsible for all financial management, reporting, procurement, and recruitment services.

UNDP may identify Responsible Parties to carry out activities within a Direct Implementation Modality (DIM) project. A Responsible Party is defined as an entity that has been selected to act on behalf of the UNDP based on a written agreement or contract to purchase goods or provide services using the project budget. All Responsible Parties are directly accountable to UNDP in accordance with the terms of their agreement or contract with UNDP. The Responsible Party may follow its own procedures only to the extent that they do not contravene the principles of the Financial Regulations and Rules of UNDP. Where the financial governance of the responsible party, does not provide the required guidance to ensure best value for money, fairness, integrity, transparency, and effective international competition, then UNDP rules and regulations shall apply. UNDP may also individual consultants, including UNVs to carry out activities within the project. All stated above are directly accountable to UNDP in accordance with the terms of their agreement or contract with UNDP.

In accordance with the decisions, policies and procedures of UNDP's Executive Board reflected in its Policy on Cost Recovery from Other Resources, the Contribution shall be subject to cost recovery for costs incurred by UNDP structures.

To cover these costs, the third-party donor Contribution shall be charged a fee of at least 8%. Hence, all direct and indirect costs of implementation, including the costs of Implementing Partner, will be identified in the Project budget against a relevant budget line and borne by the Project accordingly.

The aggregate of the amounts budgeted for the programme, together with the estimated costs of reimbursement of related support services, shall not exceed the total resources available to the programme under this Agreement as well as funds which may be available to the programme for programme costs and for support costs under other sources of financing. Where programmes/initiatives are funded under the consultancy model of the Government financing, UNDP will support implementation of the project under the guidance and supervision of the concerned Department and as per the parameters and budgets laid down in the agreement. Under Government financing component, the UNDP Country Office at New Delhi, through its Programme, Human Resource and Finance teams will provide transactional support, oversight, development effectiveness and quality assurance of the services being provided and will charge minimum support services fees of 8% on the gross expenditures against funds received to cover such direct and indirect support service costs of the project.

Project Audit: The DIM project will be audited as per UNDP rules and regulations.

The programme will be directly implemented by UNDP in cooperation with the Ministry of Tribal Affairs and respective state governments department. UNDP will further partner with local institutions to implement the programme's activities. UNDP will be responsible for the effective use of use of resources and the achievement of outcomes and outputs as set forth in this document. UNDP will be responsible for all financial management, reporting, procurement, and recruitment services. UNDP recruitment and procurement rules will apply. A national Programme Manager will be appointed for the implementation of the programme, who will be supported by a team of technical and operation experts at the national and state levels.

DocuSign Envelope ID: 1957523D-8ADD-49EE-B568-2A8456CC0EB8

Funding from Donors/Funding agencies: The donor funds shall be subject exclusively to the internal and external auditing procedures provided for in the financial regulations, rules, policies, and procedures of UNDP. Should the annual Audit Report of the UN Board of Auditors to its governing body contain observations relevant to the donor funds, such information shall be made available to the donor by the country office.

Funding from the Government: Services will be provided by the UNDP as per the approved budget and Terms and Conditions laid down in the Agreement against advance provided by the Government. The contribution shall be subject exclusively to internal and external auditing procedures provided for in the financial regulations, rules, policies, and procedures of UNDP. Activities will be undertaken by UNDP as per the approved budget. The audit at State Govt. level for the activities carried out by the Government will happen at the Government level as per the rules of the Government. No external audit by the Govt. department or its auditors shall take place on the books of the UNDP and/or the project activities carried out by UNDP.

Project Closure: The project would be closed as per UNDP rules and regulations**.**

DocuSign Envelope ID: 1957523D-8ADD-49EE-B568-2A8456CC0EB8

V.	**Results Framework**
	Intended Outcome as stated in the UNSDF Country Programme Results and Resource Framework: UNSDF Outcome 4: By 2027, people will benefit from and contribute to sustainable and inclusive growth through higher productivity, competitiveness and diversification in economic activities that create decent work, livelihoods, and income particularly for youth and women.
	Outcome indicators as stated in the Country Programme Document Results and Resources Framework, including baseline and targets: Ratio of female to male labour force participation rate Baseline (Year): 0.40 Target: 1 (2027) Indicator: Proportion of regular wage/salaried employees in non- agriculture sector with social security benefits Baseline 2020: 46.8% Target (2027): 56.80%
	Applicable Output(s) from the UNDP Strategic Plan as mentioned in the CPD: #2 No one left behind centring on equitable access to opportunities and a rights-based approach to human agency and human development. **Applicable signature solutions: 1) Poverty and Inequality, 2) Governance, and 3) Gender Equality** **Applicable SP Output Indicators:** **1.1.1 Number of countries that have development plans and budgets that integrate intergovernmentally-agreed frameworks across the whole-of-government:** • **2030 Agenda for Sustainable Development**
	Project title and Quantum Project Number: Technical Support to PM-PVTG Mission Support Cell in Ministry of Tribal Affairs ***Project no: 01001774***

EXPECTED OUTPUTS	**OUTPUT INDICATORS**	**DATA SOURCE**	**BASELINE**		**DATA COLLECTION METHODS & RISKS**					
			Value	**Year**	**Year**	**Year**	**Year**	**Year**	**FINAL**	**DATA COLLECTION METHODS & RISKS**
					2023	**2024**	**2025**	**2026**		
Output 1: Strengthened Institutional Capacity of the Ministry of Tribal Affairs as well as the Implementing	Indicator 1.1: No. of states/UTs wherein PVTG villages identified with gender-disaggregated PVTG Population.	MoTA Annual Reports programme and	0	2023	0	18 states and 1 UT	18 states and 1 UT	18 states and 1 UT	18 states and 1 UT	Data will be collected from websites of the Ministry of Tribal Affairs, State

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agencies at the State and below to ensure effective and swift implementation and monitoring of the various schemes/ programmes under PM- PVTG Mission so that the benefits reach the tribal communities with specific focus on women. GEN MARKER :2 Gender Description: i) The project will ensure women focused outreach mechanisms in accessing selected schemes/programmes within the PVTG villages, ensuring that they directly benefit from the Mission. ii) The project will help in identification of the gender-specific gaps in the state action plans developed under PM-PVTG Mission to make the programmes more gender responsive. iii) Capacity building programmes will ensure women participation with sessions focused on gender responsive planning for informed decision making.	Indicator 1.2: No of state action plans developed with sectoral and gender gaps identified.	annual project reports of PM-PVTG Mission	0	2023	0	10	18	18	18	Tribal Department and UNDP periodic reports. The risk of time lag between results on the ground and reports on the websites is inherent.
Output 2: Robust digital platforms, tools and mechanisms developed for better implementation, evidence-based planning, and	Indicator 2.1: No. of gender sensitive digital tools and mechanisms developed to ensure that gender		0	2023	0	0	2	2	2	

DocuSign Envelope ID: 1957523D-8ADD-49EE-B568-2A8456CC0EB8

monitoring of PM-PVTG Mission to make the mission more coherent and relevant to PVTGs (men and women) needs and aspirations. GEN MARKER: 2 Gender Description : i)The project will ensure the inclusion of gender disaggregated data in the digital platform. ii) The project will ensure that the digital tools and mechanisms are developed to ensure that the gender-disaggregated data from other central ministries are captured.	disaggregated data from other central ministries is reflected along with data analysis.									
	Indicator 2.2: No. of plans developed for convergence with other Line ministries including monitoring of gender disaggregated data.		0	2023	0	0	9	9	9	

VI. Monitoring And Evaluation

Monitoring Plan

Monitoring Activity	Purpose	Frequency	Expected Action	Partners (if joint)	Cost (in USD) (if any)
Track results progress	The project will collect progress gender disaggregated data against the results indicators in the RRF which will be analysed from the gender lens to assess the progress of the project in achieving the agreed outputs.	Annual	Slower than expected progress will be addressed by the project management.	MoTA and UNDP	760,184
Monitor and Manage Risk	The project will identify specific risks (as identified in the risk section) that may threaten achievement of intended results. A risk log will be	Bi-annually	The project management will identify the risks and take appropriate action to manage	MoTA and UNDP	18,263

DocuSign Envelope ID: 1957523D-8ADD-49EE-B568-2A8456CC0EB8

	used to identify and monitor risk management actions which may also include measures and plans required as per UNDP's Social and Environmental Standards. Audits will be conducted in accordance with UNDP's audit policy to manage financial risk.		them using Risk Log.		
Learn	The knowledge, good practices and lessons using a gender lens. This information will be captured regularly by the project as well as actively sourced from other projects & partners, and integrated back into the project.	Annually	Relevant lessons will be captured by the project team and used to inform management decisions.	MoTA and UNDP	54,394
Annual Project Quality Assurance	The quality of the project will be assessed against UNDP's quality standards including gender to identify project strengths and weaknesses and to inform management decisions to improve the project.	Annually	Areas of strength-weakness will be reviewed by the project management and will be used to inform decisions to improve project performance.	UNDP	2,000
Review and Make Course Corrections	An internal review of data and evidence from all monitoring actions will inform the decision making.	Annually	Performance data, risks, lessons and quality will be discussed by the Project Steering Committee (PSC) and will be used to make course corrections.	UNDP and MoTA	18,263
Project Report	A project progress report will be presented to the PSC and key stakeholders, consisting of progress data showing the results achieved against pre-defined annual targets at the output level, the annual project quality rating summary, an updated risk log with mitigation measures, and	Annually, and at the end of the project (final report)	The progress report will be reviewed by the PSC and necessary decisions taken to improve project performance.	MoTA and UNDP	-

DocuSign Envelope ID: 1957523D-8ADD-49EE-B568-2A8456CC0EB8

	any evaluation/review reports prepared over the period.				
Project Review by PSC	The project's governance mechanism (i.e., PSC) will hold regular project reviews to assess project performance and review the Multi-Year Work Plan to ensure realistic budgeting over the life of the project. In the project's final year, the PSC will hold an end-of project review to capture lessons learned and discuss opportunities for scaling up and to share project results and lessons learned with relevant stakeholders.	Annually, and at the end of the project	Any quality concerns or slower than expected progress will be discussed by the PSC and management actions agreed to for addressing the identified issues.	MoTA and UNDP	1,000

Evaluation Plan[4]

Evaluation Title	Partners (if joint)	Related Strategic Plan Output	UNSDCF/CPD Outcome	Planned Completion Date	Key Evaluation Stakeholders	Cost and Source of Funding
No evaluation needed						

[4] Optional, if needed

DocuSign Envelope ID: 1957523D-8ADD-49EE-B568-2A8456CC0EB8

VII. Multi Year Work Plan

DocuSign Envelope ID: 1957523D-8ADD-49EE-B568-2A8456CC0EB8

EXPECTED OUTPUTS	PLANNED ACTIVITIES	Planned Budget by Year				RESPONSIBLE PARTY	PLANNED BUDGET		
		Y1	Y2	Y3	Y4		Funding Source	Budget Description	Amount
		2023	2024	2025	2026				
		USD	USD	USD	USD				
Output 1:									
Strengthened Institutional Capacity of the Ministry of Tribal Affairs as well as the Implementing agencies at the State and below to ensure effective and swift implementation and monitoring of the various schemes/ programmes under PM- PVTG Mission so that the benefits reach the tribal communities with specific focus on women. Indicator 1.1: No. of states/UTs wherein PVTG villages identified with gender- disaggregated PVTG Population. Baseline: 0 Target: 18 states and 1 UT	**1.1 Human Resources** Setting up of PM-PVTG Support Cell comprising of technical experts for strategic planning, implementation and monitoring of the PVTG Development Mission. (all TORs have gender and inclusion as a key requirement)	38,277	252,629	277,892	191,386	UNDP	MOTA	71400	760,184
	1.2 Research and Documentation Gender sensitive Data Analysis, preparation of policy documents and documentation of best practices guidance notes, publications, knowledge products including audio-visuals)		18,131	18,131	18,131	UNDP	MOTA	72100	54,394

DocuSign Envelope ID: 1957523D-8ADD-49EE-B568-2A8456CC0EB8

Indicator 1.2: No of state action plans developed with sectoral and gender gaps identified. Baseline: 0 Target: 18 *Gender marker: 2*	**1.3 Training, Capacity Building, Advisory Services** A. Support in development of training framework for Officials at Centre/ State and below from States to maximize monitoring of PM PVTG mission. B. Organization of training programs for the Govt. officials at various levels, CSOs, PRIs and PVTGs community leaders to build their capacity for effective implementation of the mission. C. Regular coordination with the concerned central line ministries/ departments and state governments for bringing synergy and effective convergence/ dovetailing of funds under various central ministries/ departments/ schemes.		24,175	12,088	36,263	UNDP	MOTA	75700	72,525
	Sub-Total for Output 1								

DocuSign Envelope ID: 1957523D-8ADD-49EE-B568-2A8456CC0EB8

Output 2: Robust Digital platforms, Tools and mechanisms developed for better implementation, evidence-based planning, and monitoring of PM-PVTG Mission to make the mission more coherent and relevant to needs and aspirations of women and men belonging to the PVTGs Indicator 2.1: No. of gender sensitive digital tools and mechanisms developed to ensure data from other central ministries is reflected along with data analysis. Baseline: 0 Target: 2 Indicator 2.2: No. of plans developed for convergence with other Line ministries including monitoring of gender disaggregated data. Baseline: 0 Target: 9	**2.1 ICT and data driven Monitoring -** A. Identification of villages having PVTG population in the range of 500 or more for both the village infrastructure and individual household-based development. b. Assess critical data base development gaps from the gender lens in PVTG habitats for evidence-based planning and monitoring in consultation with States for improving infrastructural facilities in PVTG areas. C. Assist in development of an ICT-based monitoring mechanism to monitor and evaluate interventions, impact assessment of the mission. D. Ensure outreach of the programme to women beneficiaries of the PVTG community with an aim to empower them.		48350	36,263	96,700	UNDP	MOTA	72800	181,313

Gender marker: 2

	Sub-Total for Output 2								
Project Travel	Project Travel		12,088	12,088	12,088	UNDP	MOTA	71600	36,263
Project Support (laptop, other equipment, software, and other administrative support)			24,175	24,175	24,175	UNDP	MOTA	72400	72,525
Evaluation *(as relevant)*	EVALUATION not needed								
Total Programme Cost			**417,019**	**379,548**	**380,636**				**1,177,203**
Management fee 8%			33,362	30,364	30,451			74500, 64300 & 75100	94,176
TOTAL			**450,381**	**409,912**	**411,087**				**1,271,379**

DocuSign Envelope ID: 1957523D-8ADD-49EE-B568-2A8456CC0EB8

VIII. Governance and Management Arrangements

The project will be implemented by UNDP, as the Implementing Partner. Both Ministry of Tribal Affairs (MoTA) and UNDP will be jointly responsible for achieving outcome level results and the achievement of the project outcomes and outputs at all levels as set forth in the document. UNDP will assume full responsibility and accountability for the effective use of funds.

Ministry of Tribal Affairs will designate a Joint Secretary as the National Project Director (NPD) who will be responsible for guidance and management of the project on behalf of MoTA. MoTA will facilitate partnership development with state governments and coordination with other relevant central ministries as required. MoTA will sign the Project Document with UNDP to achieve the planned results.

Oversight of project level activities will be provided by the Project Steering Committee (PSC) that will be chaired by the NPD and co-chaired by UNDP. It will comprise of representatives of designated officials from the MoTA, relevant state governments, NITI Aayog and UNDP. Other stakeholders /experts in the area will also be invited, if required. The PSC will be responsible for providing overall guidance and oversight. The PSC will meet on yearly basis.

In addition, the PSC will be responsible for regular project reviews to ensure that the agreed deliverables are produced satisfactorily according to plans and timelines; assess and decide to proceed on project changes through appropriate revisions including in budget allocations at the output level; and arbitrate on any conflicts within the project or negotiate a solution to emerging problems. Further, the PSC will also serve as an effective project-level Grievance Redress Mechanism (GRM) to address grievances related to the social and environmental impacts of the project. In its accessibility to complainants and in its responses to complaints, the GRM will be gender-responsive, culturally sensitive, non-discriminatory, and inclusive.

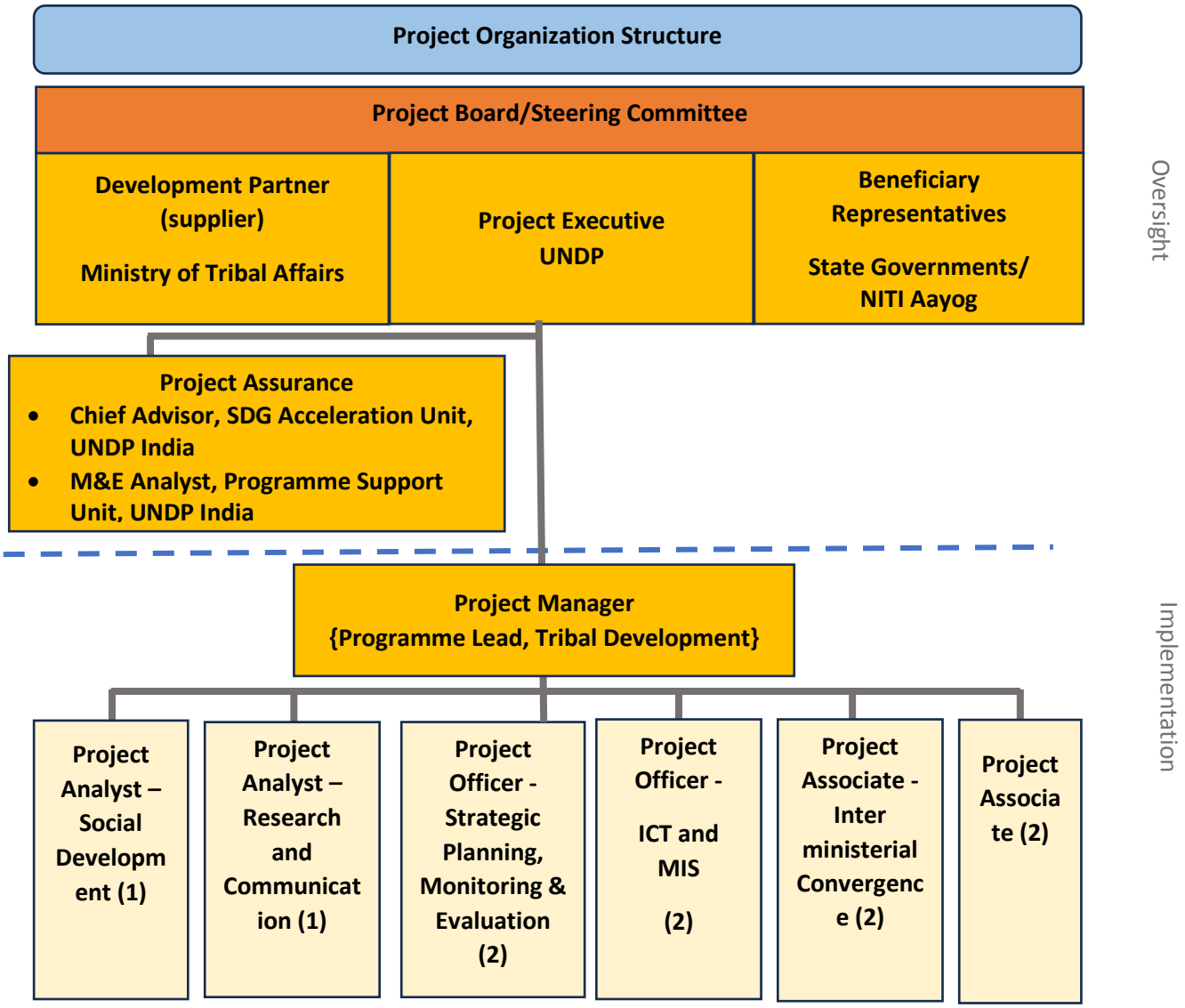
In order to ensure UNDP's ultimate accountability, PSC decisions will be made in accordance with standards that shall ensure management for development results, best value money, fairness, integrity, transparency and effective international competition. In addition, the PSC plays a critical role in UNDP commissioned project evaluations by quality assuring the evaluation process and products, and using evaluations for performance improvement, accountability and learning.

Project Assurance will be the responsibility of UNDP. The Assurance role will support the PSC by carrying out objective and independent project oversight and monitoring functions. During the implementation of the project, this role ensures (through periodic monitoring, assessment and

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evaluations) that appropriate project management milestones are managed and completed. The assurance will:

- Ensure that funds are made available to the project;
- Ensure the project is making progress towards intended outputs;
- Perform regular monitoring activities, such as periodic monitoring visits and spot checks;
- Ensure that resources entrusted to UNDP are utilized appropriately;
- Ensure that critical project information is monitored and updated
- Ensure that risks are properly identified, managed, and monitored on regular basis.



DocuSign Envelope ID: 1957523D-8ADD-49EE-B568-2A8456CC0EB8

Brief overview of Project Management Unit are as follows.

Designation	Nos	Function
Project Manager	1	• The Project Manager will play a pivotal role in providing overall supervision for the PM-PVTG Mission.
Project Analyst-Social Development	1	• Support technically in providing inputs for policy formation and data analysis related to the PVTGs. Develop guidelines and policies for the PM PVTG Mission and ensure its alignment with the overall objectives of the mission. • Undertake evidence-based data-driven research to examine the existing Government schemes and policies for the PVTGs and provide policy recommendations for addressing the gaps, importantly gender gaps. • Establish real-time monitoring and robust monitoring systems for the mission and provide regular reports on mission progress and impact. • Facilitate inter-ministerial coordination, partnerships with State Tribal Welfare departments and Tribal Research Institutes to incorporate the contextualized needs of PM PVTG mission. • Examine guidelines of other Central Ministries Schemes and Programmes and provide inputs for inclusion for PVTG communities for outcome-oriented results. • Bring in technical knowledge in preparation & issuance of guidelines, facilitative circulars/orders, policy briefs. • Ensure that project contributes to the promotion of gender equality by reaching, involving and benefiting both women and men in its activities (gender mainstreaming)
Project Analyst-Research and Communication	1	• Conceptualize research ideas that are aligned with the Ministry's mandate for the mission and prepare concept notes and coordinate with appropriate agencies to conduct the research. • Lead and strategize the advocacy work, identify research areas and develop capacity building programmes for government officials. • Undertake research and data analysis to support the development of implementation and monitoring of the PVTG Mission. Identify gaps and challenges and provide evidence-based solutions. • Develop communication materials, including reports, briefs, presentations, and infographics, to convey key messages and information, including on gender issues • Prepare Training manuals and Standard Operating Procedures (SOPs) and coordinate for

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		documentation of good practices/human interest stories. • Support in knowledge management, such as developing content for publications, media including social media, documenting human interest stories, drafting reports etc. • Ensure that project contributes to the promotion of gender equality by reaching, involving and benefiting both women and men in its activities (gender mainstreaming)
Project Officer-Strategic Planning, Monitoring & Evaluation	2	• Develop strategic plan for the PVTG Development Mission and its implementation, including the identification of key performance indicators, targets, and milestones. • Design criteria and indicators for baseline, framework for impact assessment, strategic planning with Central Ministries and states to monitor allocation of funds as per the designed criteria, budget analysis, as well as provide inputs for convergence with state specific schemes. • Develop and implement monitoring and evaluation plans, including data collection, analysis, and reporting, etc. • Support the development of a knowledge management system, including the establishment of a database to track mission performance, lessons learned, and best practices. • Undertake Gap analysis and inputs in implementation. Provide regular reports based on data analytics on progress and gaps. • Ensure that project contributes to the promotion of gender equality by reaching, involving and benefiting both women and men in its activities (gender mainstreaming)
Project Officer-ICT and MIS	2	• Provide technical support in the development and implementation of ICT and MIS tools, systems and applications for PM PVTG Mission. • Facilitate sensitizations of nodal officers (central ministries/State/UTs/Districts/Blocks) and external stakeholders, on PVTG Mission web portal, mobile app, and MIS. • Provide necessary support to the State/UTs and Central Ministries for data integration and information sharing and support the development of a fully functional information-sharing mechanism. • Collaborate with the mobile app developer to create robust, reusable, and efficient server-side and frontend components, tools, and functionalities.

DocuSign Envelope ID: 1957523D-8ADD-49EE-B568-2A8456CC0EB8

		• Facilitate training of central and state level focal points on the management of MIS for the sustainability of data platforms. • Ensure timely and accurate reporting of mission data and indicators using appropriate software tools. • Provide technical support to the MOTA and UNDP team in the selection and implementation of appropriate ICT and E-governance tools and methodologies. • Ensure that project contributes to the promotion of gender equality by reaching, involving and benefiting both women and men in its activities (gender mainstreaming)
Project Associate-Inter ministerial convergence	2	• Assist in data compilation and reports from the central, state and district level, drafting guidelines and monitoring of field level activities. • Provide support to the PVTG Cell in liaising and coordinating with the other Central Ministries and State Governments through drafting of letters and follow up and communications with relevant Ministries. • Support in training and capacity building, identifying areas of research and documentation. • Assist in compilation and preparation of quarterly and annual project progress reports, minutes of the meetings, presentation, background reports, briefs etc. • Support units in organizing workshops, events, seminars and coordination between different ministries and states. • Collaborate with team for preparation and timely submission of the mandatory reports of various nature. • Ensure that project contributes to the promotion of gender equality by reaching, involving and benefiting both women and men in its activities (gender mainstreaming)
Project Associate	2	• Provides administrative and operational support for implementation of PM-PVTG Mission. • Assist in data compilation and preparation of briefing and presentation materials, letters, speeches, background information etc. • Organize and systematize documentation and reference materials, including classifying and sorting important papers, locating new reference materials as required, preparing abstracts of reports and maintaining proper records. • Assist in projects management, efficient procurement, and logistical services.

DocuSign Envelope ID: 1957523D-8ADD-49EE-B568-2A8456CC0EB8

		• Follow-up with stakeholders/partners to guarantee smooth implementation of administrative and operational activities of the project. • Support in day-to-day activities of the unit and maintain up-to-date files and records of project documentation. • Provide logistical support for conferences, workshops, events, seminars and meetings etc.
Total	11	

IX. Legal Context

The project document shall be the instrument envisaged and defined in the Supplemental Provisions to the Project Document, attached here to and forming an integral part hereof, as "the Project Document".

This project will be implemented by UNDP in accordance with its financial regulations, rules, practices and procedures only to the extent that they do not contravene the principles of the Financial Regulations and Rules of UNDP. Where the financial governance of an Implementing Partner does not provide the required guidance to ensure best value for money, fairness, integrity, transparency, and effective international competition, the financial governance of UNDP shall apply.

X. Risk Management

1. UNDP as the Implementing Partner will comply with the policies, procedures and practices of the United Nations Security Management System (UNSMS.)

2. UNDP as the Implementing Partner will undertake all reasonable efforts to ensure that none of the [project funds][5] [UNDP funds received pursuant to the Project Document][6] are used to provide support to individuals or entities associated with terrorism , that the recipients of any amounts provided by UNDP hereunder do not appear on the United Nations Security Council Consolidated Sanctions List, and that no UNDP funds received pursuant to the Project Document are used for money laundering activities. The United Nations Security Council Consolidated Sanctions List can be accessed via https://www.un.org/securitycouncil/content/un-sc-consolidated-list. This provision must be included in all sub-contracts or sub-agreements entered into under this Project Document.

3. Social and environmental sustainability will be enhanced through application of the UNDP Social and Environmental Standards (http://www.undp.org/ses) and related Accountability Mechanism (http://www.undp.org/secu-srm).

[5] To be used where UNDP is the Implementing Partner

[6] To be used where the UN, a UN fund/programme or a specialized agency is the Implementing Partner

DocuSign Envelope ID: 1957523D-8ADD-49EE-B568-2A8456CC0EB8

4. UNDP as the Implementing Partner will: (a) conduct project and programme-related activities in a manner consistent with the UNDP Social and Environmental Standards, (b) implement any management or mitigation plan prepared for the project or programme to comply with such standards, and (c) engage in a constructive and timely manner to address any concerns and complaints raised through the Accountability Mechanism. UNDP will seek to ensure that communities and other project stakeholders are informed of and have access to the Accountability Mechanism.

5. In the implementation of the activities under this Project Document, UNDP as the Implementing Partner will handle any sexual exploitation and abuse ("SEA") and sexual harassment ("SH") allegations in accordance with its regulations, rules, policies and procedures.

6. All signatories to the Project Document shall cooperate in good faith with any exercise to evaluate any programme or project-related commitments or compliance with the UNDP Social and Environmental Standards. This includes providing access to project sites, relevant personnel, information, and documentation.

7. UNDP as the Implementing Partner will ensure that the following obligations are binding on each responsible party, subcontractor, and sub-recipient:

a. Consistent with the Article III of the SBAA *[or the Supplemental Provisions to the Project Document]*, the responsibility for the safety and security of each responsible party, subcontractor and sub-recipient and its personnel and property, and of UNDP's property in such responsible party's, subcontractor's and sub-recipient's custody, rests with such responsible party, subcontractor and sub-recipient. To this end, each responsible party, subcontractor and sub-recipient shall:
   i. put in place an appropriate security plan and maintain the security plan, taking into account the security situation in the country where the project is being carried;
   ii. assume all risks and liabilities related to such responsible party's, subcontractor's and sub-recipient's security, and the full implementation of the security plan.

b. UNDP reserves the right to verify whether such a plan is in place, and to suggest modifications to the plan when necessary. Failure to maintain and implement an appropriate security plan as required hereunder shall be deemed a breach of the responsible party's, subcontractor's and sub-recipient's obligations under this Project Document.

c. Each responsible party, subcontractor and sub-recipient (each a "sub-party" and together "sub-parties") acknowledges and agrees that UNDP will not tolerate sexual harassment and sexual exploitation and abuse of anyone by the sub-parties, and other entities involved in Project implementation, either as contractors or subcontractors and their personnel, and any individuals performing services for them under the Project Document.

   (a) In the implementation of the activities under this Project Document, each sub-party shall comply with the standards of conduct set forth in the Secretary General's Bulletin ST/SGB/2003/13 of 9

DocuSign Envelope ID: 1957523D-8ADD-49EE-B568-2A8456CC0EB8

October 2003, concerning “Special measures for protection from sexual exploitation and sexual abuse” (“SEA”).

(b) Moreover, and without limitation to the application of other regulations, rules, policies and procedures bearing upon the performance of the activities under this Project Document, in the implementation of activities, each sub-party, shall not engage in any form of sexual harassment (“SH”). SH is defined as any unwelcome conduct of a sexual nature that might reasonably be expected or be perceived to cause offense or humiliation, when such conduct interferes with work, is made a condition of employment or creates an intimidating, hostile or offensive work environment. SH may occur in the workplace or in connection with work. While typically involving a pattern of conduct, SH may take the form of a single incident. In assessing the reasonableness of expectations or perceptions, the perspective of the person who is the target of the conduct shall be considered.

d. In the performance of the activities under this Project Document, each sub-party shall (with respect to its own activities), and shall require from its sub-parties (with respect to their activities) that they, have minimum standards and procedures in place, or a plan to develop and/or improve such standards and procedures in order to be able to take effective preventive and investigative action. These should include: policies on sexual harassment and sexual exploitation and abuse; policies on whistleblowing/protection against retaliation; and complaints, disciplinary and investigative mechanisms. In line with this, sub-parties will and will require that their respective sub-parties will take all appropriate measures to:

(i) Prevent its employees, agents or any other persons engaged to perform any services under this Project Document, from engaging in SH or SEA;

(ii) Offer employees and associated personnel training on prevention and response to SH and SEA, where sub-parties have not put in place its own training regarding the prevention of SH and SEA, sub-parties may use the training material available at UNDP;

(iii) Report and monitor allegations of SH and SEA of which any of the sub-parties have been informed or have otherwise become aware, and status thereof;

(iv) Refer victims/survivors of SH and SEA to safe and confidential victim assistance; and

(v) Promptly and confidentially record and investigate any allegations credible enough to warrant an investigation of SH or SEA. Each sub-party shall advise UNDP of any such allegations received and investigations being conducted by itself or any of its sub-parties with respect to their activities under the Project Document, and shall keep UNDP informed during the investigation by it or any of such sub-parties, to the extent that such notification (i) does not jeopardize the conduct of the investigation, including but not limited to the safety or security of persons, and/or (ii) is not in contravention of any laws applicable to it. Following the investigation, the relevant sub-party shall advise UNDP of any actions taken by it or any of the other entities further to the investigation.

e. Each sub-party shall establish that it has complied with the foregoing, to the satisfaction of UNDP, when requested by UNDP or any party acting on its behalf to provide such confirmation. Failure of the

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relevant sub-party to comply of the foregoing, as determined by UNDP, shall be considered grounds for suspension or termination of the Project.

f. Each responsible party, subcontractor and sub-recipient will ensure that any project activities undertaken by them will be implemented in a manner consistent with the UNDP Social and Environmental Standards and shall ensure that any incidents or issues of non-compliance shall be reported to UNDP in accordance with UNDP Social and Environmental Standards.

g. Each responsible party, subcontractor and sub-recipient will take appropriate steps to prevent misuse of funds, fraud, corruption or other financial irregularities, by its officials, consultants, subcontractors and sub-recipients in implementing the project or programme or using the UNDP funds. It will ensure that its financial management, anti-corruption, anti-fraud and anti money laundering and countering the financing of terrorism policies are in place and enforced for all funding received from or through UNDP.

h. The requirements of the following documents, then in force at the time of signature of the Project Document, apply to each responsible party, subcontractor and sub-recipient: (a) UNDP Policy on Fraud and other Corrupt Practices (b) UNDP Anti-Money Laundering and Countering the Financing of Terrorism Policy; and (c) UNDP Office of Audit and Investigations Investigation Guidelines. Each responsible party, subcontractor and sub-recipient agrees to the requirements of the above documents, which are an integral part of this Project Document and are available online at www.undp.org.

i. In the event that an investigation is required, UNDP will conduct investigations relating to any aspect of UNDP programmes and projects. Each responsible party, subcontractor and sub-recipient will provide its full cooperation, including making available personnel, relevant documentation, and granting access to its (and its consultants', subcontractors' and sub-recipients') premises, for such purposes at reasonable times and on reasonable conditions as may be required for the purpose of an investigation. Should there be a limitation in meeting this obligation, UNDP shall consult with it to find a solution.

j. Each responsible party, subcontractor and sub-recipient will promptly inform UNDP as the Implementing Partner in case of any incidence of inappropriate use of funds, or credible allegation of fraud, corruption other financial irregularities with due confidentiality.

   Where it becomes aware that a UNDP project or activity, in whole or in part, is the focus of investigation for alleged fraud/corruption, each responsible party, subcontractor and sub-recipient will inform the UNDP Resident Representative/Head of Office, who will promptly inform UNDP's Office of Audit and Investigations (OAI). It will provide regular updates to the head of UNDP in the country and OAI of the status of, and actions relating to, such investigation.

k. UNDP will be entitled to a refund from the responsible party, subcontractor or sub-recipient of any funds provided that have been used inappropriately, including through fraud corruption or other financial irregularities, or otherwise paid other than in accordance with the terms and conditions of the

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Project Document. Such amount may be deducted by UNDP from any payment due to the responsible party, subcontractor or sub-recipient under this or any other agreement.

Where such funds have not been refunded to UNDP, the responsible party, subcontractor or sub-recipient agrees that donors to UNDP (including the Government) whose funding is the source, in whole or in part, of the funds for the activities under this Project Document, may seek recourse to such responsible party, subcontractor or sub-recipient for the recovery of any funds determined by UNDP to have been used inappropriately, including through fraud, corruption or other financial irregularities, or otherwise paid other than in accordance with the terms and conditions of the Project Document.

Note: The term "Project Document" as used in this clause shall be deemed to include any relevant subsidiary agreement further to the Project Document, including those with responsible parties, subcontractors and sub-recipients.

l. Each contract issued by the responsible party, subcontractor or sub-recipient in connection with this Project Document shall include a provision representing that no fees, gratuities, rebates, gifts, commissions or other payments, other than those shown in the proposal, have been given, received, or promised in connection with the selection process or in contract execution, and that the recipient of funds from it shall cooperate with any and all investigations and post-payment audits.

m. Should UNDP refer to the relevant national authorities for appropriate legal action any alleged wrongdoing relating to the project or programme, the Government will ensure that the relevant national authorities shall actively investigate the same and take appropriate legal action against all individuals found to have participated in the wrongdoing, recover and return any recovered funds to UNDP.

n. Each responsible party, subcontractor and sub-recipient shall ensure that all of its obligations set forth under this section entitled "Risk Management" are passed on to its subcontractors and sub-recipients and that all the clauses under this section entitled "Risk Management Standard Clauses" are adequately reflected, *mutatis mutandis*, in all its sub-contracts or sub-agreements entered into further to this Project Document.

Special Clauses. In case of government cost-sharing through the project, the following clauses should be included:

1. The schedule of payments and UNDP bank account details.

The Ministry of Tribal Affairs will release the funds to UNDP as per the following schedule to the bank account mentioned below.

Tranche	**Schedule of Payment**

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1	Upto 12 months of human resource cost payment and 50 per cent of the total agreed amount for activities to be released by the FIRST PARTY at the start of the project
2	Request for the second tranche by UNDP at the end of the 11 months
3	Request for the third tranche by UNDP at the end of 23rd Month

Account Name	UNDP Representative Rupee A/c
Bank Name/Address	Bank of America DLF Centre, Sansad Marg, New Delhi-110001, India
Bank A/c No:	21100019
RTGS/IFSC Code:	BOFA0ND6216
Swift Code:	BOFAIN4XDEL

2. The value of the payment, if made in a currency other than United States dollars, shall be determined by applying the United Nations operational rate of exchange in effect on the date of payment. Should there be a change in the United Nations operational rate of exchange prior to the full utilization by the UNDP of the payment, the value of the balance of funds still held at that time will be adjusted accordingly. If, in such a case, a loss in the value of the balance of funds is recorded, UNDP shall inform the Government with a view to determining whether any further financing could be provided by the Government. Should such further financing not be available, the assistance to be provided to the project may be reduced, suspended or terminated by UNDP.

3. The above schedule of payments takes into account the requirement that the payments shall be made in advance of the implementation of planned activities. It may be amended to be consistent with the progress of project delivery.

4. UNDP shall receive and administer the payment in accordance with the regulations, rules and directives of UNDP.

5. All financial accounts and statements shall be expressed in United States dollars.

6. If unforeseen increases in expenditures or commitments are expected or realized (whether owing to inflationary factors, fluctuation in exchange rates or unforeseen contingencies), UNDP shall submit to the government on a timely basis a supplementary estimate showing the further financing that will be necessary. The Government shall use its best endeavours to obtain the additional funds required.

7. If the payments referred above are not received in accordance with the payment schedule, or if the additional financing required in accordance with paragraph 6 above is not forthcoming from the Government or other sources, the assistance to be provided to the project under this Agreement may be reduced, suspended or terminated by UNDP.

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8. Any interest income attributable to the contribution shall be credited to UNDP Account and shall be utilized in accordance with established UNDP procedures.

In accordance with the decisions and directives of UNDP's Executive Board:

The contribution shall be charged 8% management fee for the provision of indirect support services and direct project implementation support services provided by UNDP.

9. Ownership of equipment, supplies and other properties financed from the contribution shall vest in UNDP. Matters relating to the transfer of ownership by UNDP shall be determined in accordance with the relevant policies and procedures of UNDP.

10. The contribution shall be subject exclusively to the internal and external auditing procedures provided for in the financial regulations, rules and directives of UNDP."

ANNEXES

1. **Project Risk Register**
2. **Project Quality Assurance Report**
3. **Social and Environmental Screening Template**